

# **Cascaded Adaptive Network-Based Fuzzy Inference System for Hydropower Forecasting**

Namal Rathnayake <sup>1,‡</sup>\*<sup>(D)</sup>,Upaka Rathnayake<sup>2,‡</sup><sup>(D)</sup>Tuan Linh Dang, <sup>3,‡</sup><sup>(D)</sup> and Yukionobu Hoshino <sup>4,‡</sup><sup>(D)</sup>

- <sup>1,4</sup> School of Systems Engineering, Kochi University of Technology, 185 Miyanokuchi, Tosayamada, Kami, Kochi, 782-8502, Japan, namalhappy@gmail.com<sup>1</sup>, 246007h@gs.kochi-tech.ac.jp<sup>1</sup> hoshino.yukinobu@kochi-tech.ac.jp<sup>4</sup>
- <sup>2</sup> Department of Civil Engineering, Faculty of Engineering, Sri Lanka Institute of Information Technolog, Malabe, 10115, Sri Lanka, upaka.r@sliit.lk, lupakasanjeewa@gmail.com
- <sup>3</sup> School of Information and Communications Technology, Hanoi University of Science and Technology, No. 1, Dai Co Viet Road, Hanoi, 100000, Vietnam, linhdt@soict.hust.edu.vn, linh.dangtuan@hust.edu.vn
- \* Correspondence: 246007h@gs.kochi-tech.ac.jp, namalhappy@gmail.com; Tel.+8107014268358
- ‡ These authors contributed equally to this work.

Abstract: Hydropower stands as a crucial source of power in the current world, and there is a vast range of benefits in forecasting power generation for the future. This study focus on the significance 2 of climate change on the future representation of the Samanalawewa Reservoir hydropower project 3 using an architecture of the Cascaded ANFIS algorithm. Moreover, this study aims to assess the capacity of handling regression problems using the novel Cascaded ANFIS algorithm and compare 5 the results with the state-of-art regression models. The inputs to this system are the rainfall data of 6 selected weather stations inside the catchment. The future rainfalls were generated using Global Climate Models at RCP4.5 and RCP8.5 and corrected for their biases. The Cascaded ANFIS algorithm 8 was selected to handle this regression problem by comparing the best algorithm among the state-9 of-the-art regression models, such as RNN, LSTM and GRU. The Cascaded ANFIS could forecast 10 the power generation with a minimum error of 1.01, while the second-best algorithm, GRU, scored 11 a 6.5 error rate. The predictions were carried out in two aspects: near-future and mid-future and 12 compared against the previous work. The results clearly show the power generation variation against 13 the predicted rainfalls at the cost of a slight error rate. This research can be utilized in numerous 14 areas to develop hydropower production. 15

Keywords: Cascaded-ANFIS; GRU; Regression; LSTM; RNN; Sri Lanka; Hydropower; Forecasting

Citation: Rathnayake, N.; Rathnayake, U; Dang, T.L.; Hoshino, Yukionobu. Cas-ANFIS Hydropower. *Sensors* 2022, 1,0. https://doi.org/

Received: Accepted: Published:

Article

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.

**Copyright:** © 2022 by the authors. Submitted to *Sensors* for possible open access publication under the terms and conditions of the Creative Commons Attri-bution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). 1. Introduction

The Sustainable Development Targets (SDGs) were announced in 2012, with 17 goals 18 recommended for completion by 2030. One of the essential aims at the list is to achieve clean 19 energy from power generation [1]. Global hydropower output has been peaked in 2020 with 20 38.2 exajoules, up from 37.7 exajoules the previous year, and climbed by 11.6 exajoules in the 21 two decades from 2000 to 2020 [2]. Thus, hydropower contributes to more than 16% of total 22 energy generation [3]. Many South Asian nations, including Sri Lanka, fulfill a considerable 23 portion of their electrical demand through hydropower facilities (approximately 40% of 24 total energy in Sri Lanka) [4]. Renewables are still regarded as being one of the most 25 environmentally friendly power producing systems in the world. As a result, a 75–100% 26 increase in production capacity is projected in the coming years [3]. In comparison to 27 wealthy countries, which have utilized 70% of their capacity, emerging nations have only 28 evaluated 23% of financially feasible hydropower plants [5]. As a result, many developing 29 nations are rapidly spending considerable resources in developing hydropower facilities 30 since it is seen as a safe and cost-effective source of renewable energy that minimizes carbon 31 emissions [6]. 32

Along the lines, hydropower is one of the cleanest forms of energy sources; how-33 ever, the inflow to dam reservoirs significantly impacts the pace of hydropower output. 34 Therefore, hydropower generation, on the other hand, is very unpredictable due to its 35 dependency on meteorological conditions and weather conditions. Furthermore, climate 36 change is likely to disrupt hydropower plant operations by unbalancing the water cycle, 37 increasing the frequency of rainfall events, and rising atmospheric temperatures. It is evi-38 dent that the evaporation and other water cycle components are affected by the predicted 39 temperature change of 0.0164 °C annually [7]. Rainfall, on the other hand, is projected to 40 increase in some countries while decreasing in other countries, thus impacting hydropower 41 producing capacity [8]. 42

If electricity output is dramatically curtailed due to climate change's negative conse-43 quences of climate change, the hydropower sector might become one of the most vulnerable 44 businesses. In addition, water scarcity in the catchment and reduced hydropower gen-45 eration inputs due to landslides or soil erosion might exacerbate the problem. On the 46 other hand, construction of hydroelectric infrastructure is prohibitively expensive, presents 47 substantial dangers to the aquatic ecology, and produces socioeconomic concerns [9].

As a result, forecasting hydropower output is critical for maximizing renewable energy 49 consumption to meet growing demand and control hydroelectric power management. This will help to achieve environmental sustainability. Despite this, estimating future 51 hydropower output is challenging due to the nonlinearities of the input functions and 52 regional and temporal fluctuations in meteorological data, including temperature and 53 rainfall. As a result, the prediction output of the model might have a substantial financial 54 benefit in regulating renewable energy infrastructure development like hydroelectric [10]. 55

### 2. Related Works

Several researchers have studied the impact of climatic fluctuation on hydroelectric 57 output, primarily utilizing Global/Regional Climate Models (GCMs/RCMs), predictive 58 modelling, and conventional statistical methodologies (e.g., [11-13]).

Several methods to predict the future of hydropower plants using machine learning 60 techniques can be found in the literature and ANN is one of the main algorithms that 61 can be used to carry out this task. A case study was carried out in Nigeria, as well as in 62 Jebba and Kainji, employing ANN impartial input data [14]. In Uzlu et al. [15], the artificial 63 bee colony method was used to forecast future hydropower output throughout Turkey 64 utilizing input factors including generation capacity, energy consumption, population, and 65 temperatures. According to the report, Power output of Turkey is not in accordance with 66 the country's objective of producing 30% of its renewable electricity in 2023. Furthermore, 67 Patil [16] examined future streamflow for the Ranganadi River, which is located in India 68 up to 2040, to forecast hydropower output using three GCM models and ANN. When 69 using feed-forward back-propagation algorithms on the ANN architecture, input parameter 70 characteristics substantially influence forecasting future power generation [17]. 71

Furthermore, while projecting electricity output from various energy resources in the 72 United States, Khodaverdi [18] proposed an ANN-ARIMA hybrid model rather than ANN 73 to predict future renewable energy resources data (e.g., hydroelectricity, solar, and wind). After examining 66 studies that used ANN to improve reservoir operations, the study by 75 Ajala et al. [19] further reinforced the idea of combining ANN with supervised or unsuper-76 vised learning algorithms to improve reservoir outflow prediction. Furthermore, the study 77 by Shaktawat and Vadhera [5] advised performing further research on risk management in 78 hydropower utilizing a fuzzy model mixed with ANN and genetic algorithm. 79

Some scientists insist that ANNs are important in hydropower prediction. Anuar et al 80 have showcased that the hidden layer neurons had a more significant impact on the results 81 of the ANN structure when forecasting streamflow at The Malaysian hydroelectric dam 82 [20]. Furthermore, Sessa et al. [21] discovered that ANN models are the most accurate 83 in predicting short-term and long-term hydropower generation after having conducted 84

56

research studies in run-of-the-river (ROR) hydroelectricity in France, Portugal, and Spain using chronological weather information such as rainfall, snow, and temperature.

However, the related research in the context of Sri Lanka is minimum. In fact, as per authors' knowledge only one such research was available in Sri Lanka that used ANN to anticipate electricity output. Furthermore, the research by Karunathilake and Nagaha [22] estimated daily electricity consumption but did not forecast power generation.

Although numerous ANN-based machine learning algorithms have been found in the literature for hydropower prediction, machine learning techniques that use Fuzzy Logic to predict hydropower generation are handful. Some of the literature on Fuzzy Logic-based predictions can be listed as follows.

The Grey wolf approach was combined with an adaptive neuro-fuzzy inference system (ANFIS) in this work to anticipate hydroelectricity generation Dehghani et al. [23]. In addition, hydropower output of Albania was analyzed by Konica and Staka [24] to establish the best forecasting model for assessing hydro energy production for the years 2007-2016. They have used the fuzzy time series approach to forecast Albania's hydropower generation.

Moreover, some studies have been conducted to forecast the rainfall using Fuzzy Logic 101 based algorithms. The rainfall forecast is done in this study in a study by Suprapty et al. [25] 102 in the East Kalimantan area, which has 13 watersheds with the potential to build a Micro 103 Hydro Power Plant. To simulate rainfall time series data, the Auto-Regressive (AR) Model 104 based on Fuzzy Inference System (FIS) is utilized. The research work done by Rahman 105 et al. [26] have showcased an improvement to forecast rainfall using a fuzzy rule-based 106 approach. Eight distinct equations have been created using temperature, wind velocity, 107 and precipitation. The minimum content of the induction component of temperature and 108 wind velocity fuzzifications is investigated, as are fuzzy levels and membership functions. 109

Mostly, time-series predictions are purely non-linear, and fuzzy logic is the best in artificial intelligence to tackle problems in non-linear [27].

The majority of the earlier works share the following flaws.

- 1. Generally, Artificial Neural Network-based algorithms are bulky in the complexity of the calculations.
- 2. Difficult to use when the predictions depend on the uncertainty factors and non-linear <sup>115</sup> inputs. <sup>116</sup>
- 3. It is not likely to generate the best possible prediction because the input factors vary depending on the different environments.
- 4. Requires an enormous amount of computing power.

Therefore, while addressing the above-mentioned overall flaws, this study tries presents a new algorithm called Cascaded Adaptive Neuro-Fuzzy Inference System (Cascaded ANFIS) to predict the hydropower generation [28]. The impact of this research can be pointed out as follows.

- 1. This system uses fuzzy logic approach along with Neural Network to address the uncertainty and the non-linearity of the inputs. 125
- 2. Since the base algorithm of this system is two-input one-output ANFIS, and the computational power reduces dramatically.
- 3. It is possible to generate a near-zero error in the prediction by increasing the number of levels in the Cascaded ANFIS algorithm.
- 4. This study presents future power generation up to the year 2099 in two different <sup>130</sup> climate models. <sup>131</sup>
- The comparative study presented in this work provides a solid understanding of the potential regarding the Cascaded ANFIS algorithm upon the cutting-edge time series prediction algorithms.

112

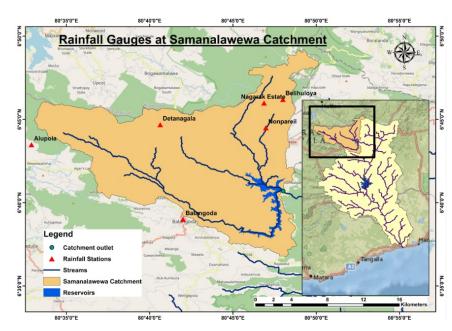


Figure 1. Rainfall Gauges at Samanalawewa catchment

#### 2.1. Hydropower in Sri Lanka

Sri Lanka has a hydroelectric power potential of 1,719 Megawatts (MW), and existing hydropower growth pledges would contribute around 247 MW to the power grid mostly in coming decades [4]. According to Gunasekara [29], the bulk of Sri Lanka's hydroelectric plants are more than 25 years old. Although hydropower plants have a lifespan of about 50 years, if any of the older hydroelectric dams fail to operate, whether due to climate or mechanical fault, Sri Lanka will then meet the energy shortage problem because it will be challenging to replace the defective hydroelectric dams in a brief period [30].

As a result, in the Sri Lankan context, analyzing the power generating capabilities 143 of hydroelectric projects is crucial. To handle a developing country's economic electrical 144 demands, followed by managing water supply infrastructural development amid climatic 145 factors. Several analyses in Sri Lanka, however, have looked at potential energy production 146 from current or planned hydroelectric dams. The study in Udayakumara et al. [31] looked 147 at ways to increase power output in hydroelectric dams by preventing land degradation 148 and reservoir floods in the Uma Oya valley, one of Sri Lanka's most crucial significant 149 catchment areas. 150

The study in Chandrasekara et al. [2] studied inflows in the Kotmale reservoir until 2005 from 1960 using the El Nino Southern Oscillation (ENSO) phase indicator and discovered that flow to the basin had decreased, impacting hydropower output and agricultural plans. According to the research in Imbulana et al. [32], a rise in continuous rainfall events, a decrease in continuous dry weather, and a gain in yearly rainfall series will improve the future production capacity of the Mahaweli watershed's hydropower plants.

In addition, Khaniya et al. [12] used a multiyear rainfall trend research to demonstrate that changes in climate will have no effect here on Denawaka Ganga mini-hydropower generating as in the Rathnapura area. The study released in Perera and Rathnayake [33] additionally sought to analyze the effect of climate change on the Erathna mini-hydropower station in the Rathnapura area. They concluded that electricity generation will decline in the following years.

The study in Khaniya et al. [31] [34] undertook a similar evaluation on the recently operational Uma Oya watershed, and the researchers found that there will be no substantial challenges to hydroelectric generation in the years ahead groundwater limits in the watershed region. Nevertheless, as stated in the introduction, there seems to be no comprehensive study on hydroelectric forecasts in Sri Lanka for the coming decades.

Consequently, this study has a better possibility of attracting the attention of the Sri Lankan authorities to enhance the management and forecasting procedures in hydroelectric 169 plants. 170

# 3. Study Area

The Samanalawewa Hydropower Project is located in the central portion of Sri Lanka, 172 in the Belihul Oya region of Rathnapura division, Sabaragamuwa province. The project 173 was completed in 1992, just downstream of the confluence of Belihul Oya to Walawe 174 River. The watershed region (359 km2) is midland, made of marble and quartz, and has 175 an average altitude of around 530 m [30]. The region is located inside the rainy region of 176 the country (wet zone), with a mean annual precipitation of around 2500 mm [35]. The 177 southwest monsoon provides the majority of the rainfall for the catchment, with minor 178 contributions from the northeast monsoon and inter-monsoon storms. The Samanalawewa 179 Hydroelectric power project includes a U-shaped rockfill dam which is around 110 m high 180 from its foundation. The power station is capable of producing 124 MW as per the design 181 guidelines. Figure 1 illustrates a detailed catchment map. 182

Samanalawewa hydroelectric is among Sri Lanka's oldest and one of the largest 183 reservoir-type power stations and has long played an essential part in maintaining power distribution stability during peak times. It accounts for 8.69% among all extensive hydro-185 electric plants providing electricity for Sri Lanka's electrical requirements. Since its start, this project has aroused significant attention owing to the leakage problem discovered on 187 the lake's right bank due to poor geological characteristics [36]. Moreover, several environmental difficulties were noted during the design stage; however, little awareness was 189 taken because no stringent environmental restrictions necessitated substantial development 190 efforts [37]. 191

Although the Environmental Impact Assessment (EIA) framework was established in 192 Sri Lanka in 1988, EIA during the building of Samanalawewa was primarily centered on 193 vegetation revascularization and habitat conservation. 194

Due to the apparent leak, the phase-2 of the hydropower plant construction (120 195 MW capacity) was suspended; therefore, a mini-hydropower facility was constructed that 196 utilities the leaking water. Despite the Ceylon Electricity Board's (CEB's) valiant efforts to 197 halt the leak, stored water continues to flow at a pace of 2.1–2.8  $m^3/s$  [38]. 198

Irrigated water from the dam is vital for agricultural usage in downstream settlements 199 such as Kaltota, Madabadda, Welipotayaya, and Koongahamankada. Paddy yields of 200 downstream of the study area have been reduced by 11.5 percent due to a lack of water in 201 the reservoir [39]. Therefore, water management is highly important. 202

Because a portion of the confiscated water is immediately delivered for irrigation 203 without going through the power station, analyzing the prospective availability of water 204 in the Samanalawewa dam for energy production is crucial. Another fraction (the leaking 205 component) is supplied by mini-hydropower plants that produce far less energy. Further-206 more, with the rising availability of water from downstream agricultural districts, water management at the Samanalawewa reservoir must be more carefully managed. Further-208 more, climate variability may have an influence on CEB's watershed management goals at the Samanalawewa hydroelectric station, either positively or negatively. As a result, 210 the following study will be of interest to the many stakeholders of the Samanalawewa 211 Hydropower Project. 212

To assess that, the monthly rainfall data were purchased from the Department of 213 Meteorology, Sri Lanka for the rainfall stations showcased in Figure 1. The data was 214 collected from 1992 to 2018 as per the availability. There was some missing data due 215 to various reasons, including instrumentation errors. Therefore, the data was screened 216 carefully before they were used. Balangoda, Alupola, Detanagalla, Belihuloya, Nonpareil 217 (Belehuloya), and Nagrak Estate are the six stations which were used in this study. 218

# 4. Methodology

Furthermore, three state-of-the-art algorithms, namely GRU, RNN, and LSTM, are used to distinguish the efficiency of the algorithms. 226

#### 4.1. Climate data extraction for future

Global Climatic Models (GCMs) accommodate climatic data at vast ranges across immensely different landscapes. In contrast, Regional Climatic Models (RCMs) are employed at more inadequate orders and can accommodate more specific data for adaptation evaluation, and preparation [40]. As a projected instrument, GCMs forecast the climate variance of the Earth in the future. They should, however, be investigated on a local or even global scale to identify efficient correspondence procedures. 228 229 230 230 231 232 232 233 233 234 235 235 235 236 237 237 237 238

Future climatic data for various situations can be retrieved. Such scenarios are known as Representative Concentration Pathways (RCP), in which weather data can be obtained. RCPs can be expressed as trajectories on the Intergovernmental Panel on Climate Change's [41] greenhouse gas concentrations. RCP 2.6, 4.5, 6.0, and 8.5 are the four most generally applied RCPs in the literature [41]. RCP4.5 is the intermediate emission scenario, in which emissions begin to decline around 2045, where RCP8.5 is the leading emission situation, in which discharges proceed to rise during the 21<sup>st</sup> century. 220

It is generally known that RCMs have variable degrees of methodical bias [42,43]. The causes of such preferences could be due to methodical model mistakes produced through poor conceptualizations, spatial averaging, and discretizations in grid cells. Some prejudice improvement strategies are employed in the literature to address these biases [44]. Linear scaling, local intensity scaling, power transformation, variance scaling, distribution transfer, and delta change approach are some widely used techniques in removing biases in climatic data.

The Linear Scaling (LS) approach [45] is employed extensively in various investigations 248 due to its simplicity and speed of application. LS can adjust all-climate elements to an 249 appropriate level; however, few examples of precipitation corrections can be found in 250 Gimire et al., Lafon et al., Luo et al., and Mahmood et al. [46–49]. The bias correction 251 method for linear scaling can be implemented employing the two equations provided 252 here (Equations (1) and (2)), where *his*, *cor*, *sim*, *obs*, *d*, and *P* stand for raw RCM data, 253 bias-corrected data, raw RCM corrected data, observed data, daily, and precipitation, 254 respectively, and m is the long-term cyclical average of rainfall data: 255

$$P_{his,d}^{cor} = P_{his,d} * \frac{\mu_m(P_{obs,d})}{\mu_m(P_{his,d})}$$
(1)

$$P_{sim,d}^{cor} = P_{sim,d} * \frac{\mu_m(P_{obs,d})}{\mu_m(P_{sim,d})}$$
(2)

LS technique was used to remove the biases in the RCP precipitation products as shown in the Equations 1 and 2. The ground measured monthly rainfalls were used to remove these biases. 256

#### 4.1.1. Implementation of the Cascaded ANFIS algorithm

Ì

219

227

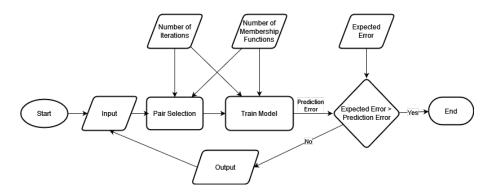


Figure 2. Flowchart of the Cascaded ANFIS

membership function. The following layer defuzzifies the outputs from the third and fourth levels before feeding them to the final layer, which generates the output. 265

The critical difference between the Cascaded ANFIS algorithm and the conventional ANFIS algorithm is that the product of the standard ANFIS algorithm fits the input of the conventional ANFIS method's subsequent usage. However, fuzzy is applied as the fuzzification process within the ANFIS model's internal layers, just as in the traditional ANFIS technique. The usage of membership functions, which change numerical values into fuzzy members, is used to achieve fuzzification. The pair selection technique and the training method are the two main components of the Cascaded ANFIS algorithm. 200

In the training method, the 2-input ANFIS structure is again employed. Because the input variables are linked to the most suitable match from the former method, they can be immediately fed into the ANFIS module, which will generate current outputs and RMSE for specific data combinations. There is also a pre-determined goal error at this time, and the RMSE is then compared to the anticipated error as a result. The procedure can be terminated if the target error is fulfilled. If not, the algorithm moves on to the next iteration. This document for implementation [28] has a detailed description of the Cascaded ANFIS algorithm, including pseudo-code.

As mentioned in the above sections on dataset generation for future rainfall, four data 296 points are generated for every month in the range from the year 2021 to the year 2099 using 297 RCP 4.5 and RCP 8.5 climate models. Accordingly, these four data points were used as the 298 inputs to the Cascaded ANFIS algorithm. As shown in Figure 3, the  $X_1, X_2, X_3$ , and  $X_4$  are 299 the inputs to the first level of the Cascaded ANFIS algorithm. Each input is coupled with 300 the best pair because the ANFIS structure is a two-input one-output configuration. The 301 process of the paring of each input is discussed in detail in the pair selection section of this 302 paper [28].  $A_{i,i}$  is the two-input one-output ANFIS module, where i is the level number of 303 the Cascaded ANFIS (i = 1, 2, 3, ..., n) and j is the number of ANFIS modules in a certain 304 level (j = 1, 2, 3, 4). 305

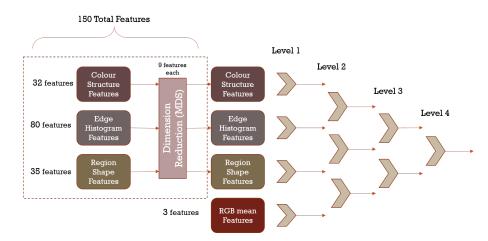


Figure 3. Hydropower Prediction Cascaded ANFIS structure

At the end of the  $1^{st}$  level, the outputs are generated for each ANFIS  $(O_{1,j})$ . As pointed out in the figure, there are four outputs from level one. Then, the second level will initialize by applying those outputs as inputs to the second level. Again, the pair selection process is performed to select the best pair for each ANFIS in the second level. This process continues until the pre-defined maximum levels reach (n). In the end, the mean of the outputs is calculated as the final solution f (equation 3).

$$f = \frac{\sum_{j=1}^{4} O_{n,j}}{4}$$
(3)

Algorithm Parameters Hidden layer size 50, 50, 50 Activation tanh MLP Solver adam alpha 0.05 learning rate constant Weights Uniform **KNN** n\_neighbors 1 100 Iteration Membership 3 ANFIS Functions Step Size 0.1 Decrease rate 0.9 1.1 Increase rate Inertia Weight 1 Inertia weight ANFIS-PSO 0.99 damping ratio Personal Learning 1 Coefficient Global Learning 2 Coefficient Crossover 0.7 Percentage Mutation ANFIS-GA 0.5 Percentage Mutation Rate 0.1

Table 1. Parameter Setting for each algorithm

Algorithm	Parameters		
· · ·	Selection	8	
	Pressure	0	
	Gamma	0.2	
RNN / LSTM / GRU	Optimizer	adam	
	Learning rate	0.0001	
	Activation	relu	
	batch size	30	
	epochs	100	
Cascaded ANFIS	Iteration	100	
	Membership	3	
	Functions	3	
	Step Size	0.1	
	Decrease rate	0.9	
	Increase rate	1.1	

Table 1. Parameter Setting for each algorithm

#### 4.1.2. Parameter settings for each algorithms

This study is conducted to investigate the best prediction algorithm from the state-ofthe-art algorithms in hydropower forecasting. Hence, there are several algorithms used and each algorithm is created with the optimum parameters. Following is the complete list of algorithms used in this study.

1.	Multilayer Perception (MLP)	317
2.	K - Nearest Neighbors (KNN)	318
3.	Adaptive Network-based Fuzzy Inference system (ANFIS)	319
4.	Particle Swarm Optimization with ANFIS (ANFIS-PSO (Hybrid))	320
5.	Genetic Algorithms with ANFIS (ANFIS-GA (Hybrid))	321
6.	Linear Regression	322
7.	Lasso Regression	323
8.	Ridge Regression	324
9.	Recurrent Neural Network (RNN)	325
10.	Long Short-Term Memory (LSTM)	326
11.	Gated Recurrent Unit (GRU)	327
12.	Cascaded ANFIS	328

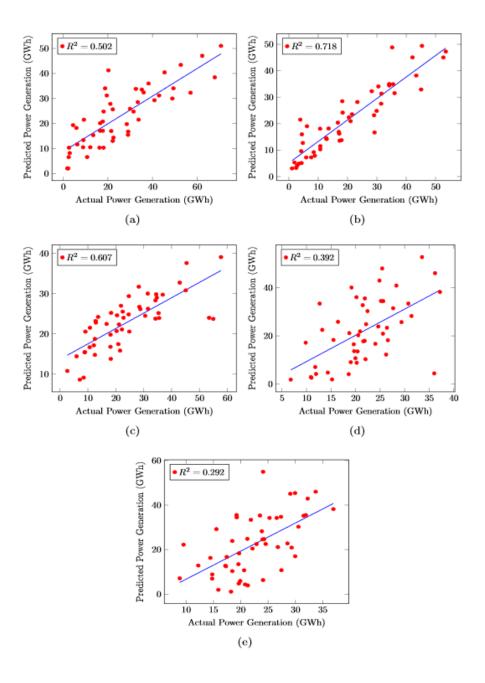
Here, two types of algorithms were used: general machine learning algorithms and regression machine learning algorithms. MLP, KNN, and ANFIS methods can be presented as the general machine learning algorithms, while Linear, Lasso, Ridge, LSTM, GRU, and RNN can be introduced as regression models.

Each algorithm is separately coded and run during the study to generate the outputs. Most of the algorithm parameters are manually adjusted, while some of the algorithms were adjusted under the consideration of literature studies. Each parameter for each algorithm is shown in Table 1.

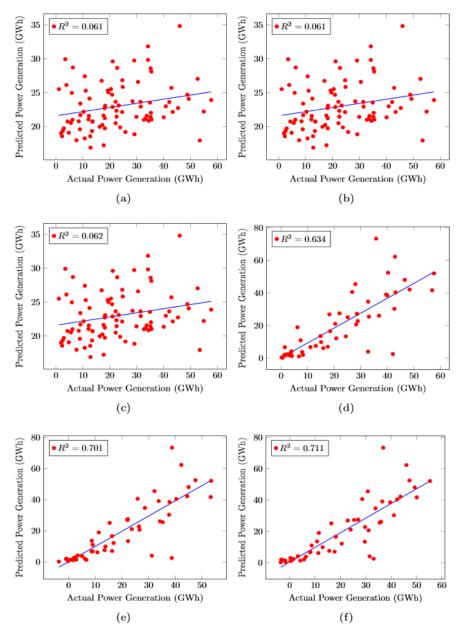
The experiment was carried out for the hydropower generation dataset. Nine different algorithms were tested, and the best algorithm was chosen based upon the Root Mean Square Error (RMSE) and the Coefficient of Determination ( $R^2$ ) of each algorithm. The RMSE and  $R^2$  can be calculated as shown in Equation 4,5.

$$RMSE = \sqrt{\frac{1}{q} \sum_{t=1}^{q} (\bar{u}(t) - \hat{u}(t))^2}$$
(4)

$$R^2 = 1 - \frac{RSS}{TSS} \tag{5}$$



**Figure 4.** Coefficient of Determination ( $R^2$ ) of Rain Fall Test dataset for (a) KNN, (b) MLP, (c) ANFIS (d) PSO-ANFIS and (e) GA-ANFIS



**Figure 5.** Coefficient of Determination  $(R^2)$  of Rain Fall Test dataset for (a) Linear Regression, (b) Lasso Regression, (c) Ridge regression (d) RNN, (e) LSTM and (f) GRU

Table 2.	RMSE	for	training	and	testing

Algorithm	RMSE (Train)	RMSE (Test)
MLP	7.52	25.26
KNN	9.73	19.33
ANFIS	10.47	18.06
ANFIS-PSO	10.99	16.61
ANFIS-GA	11.88	16.87
Linear Regression	13.74	14.85
Lasso Regression	13.72	14.82
Ridge Regression	13.70	14.88
RNN	7.85	11.62
GRU	6.50	8.33
LSTM	6.03	6.88
Cascaded ANFIS	1.01	1.80

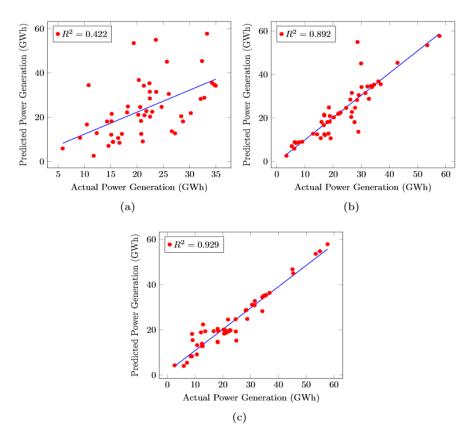


Figure 6. Cascaded ANFIS behavior for different levels.(a) Level 1, (b)Level 10, (c) Level 20

Where, in Equation 4,  $\bar{u}(t)$  is introduced as the prediction and  $\hat{u}(t)$  is the real output. *q* is the size of the population. In Equation 5, the sum of the squares of the prediction is *RSS* and the sum of squares of real values is *TSS*.

#### 5. Results and Discussion

This section includes two main subsections. First, the algorithm comparison is introduced since selecting the best algorithm is one of the main objectives of this study. Second, the future power generation is explained along with the results with the best algorithm selected here.

#### 5.1. Comparison of the Algorithms

Table 2 presents the RMSE for each algorithm at the training and the testing phases.The smallest error of 1.01 in the training and 1.80 in the testing was obtained by theCascaded ANFIS. As mentioned in the introduction of the Cascaded ANFIS, the errorreduces while propagating through levels. Hence, a higher level of structure generatesmore accurate results at the cost of computational power. However, the results shown hereare for the Cascaded ANFIS at level 20.

Moreover, the second, third, and fourth best accuracies are LSTM, GRU, and RNN. They have obtained 6.03, 6.50, and 7.85 errors at the training, sequentially. It is also worth remarking that the other ANFIS algorithms, such as ANFIS, ANFIS-PSO, and ANFIS-GA, present a higher error rate when compared with the other algorithms. 359

Furthermore, the Coefficient of Determination  $(R^2)$  is calculated for each algorithm as shown in Figures 4 and 5. Here, Figure 4 shows the performances of general machine learning algorithms and Figure 5 shows regression machine learning algorithm performances.  $R^2$  is used to examine how variations in one variable may be explained by changes in another.

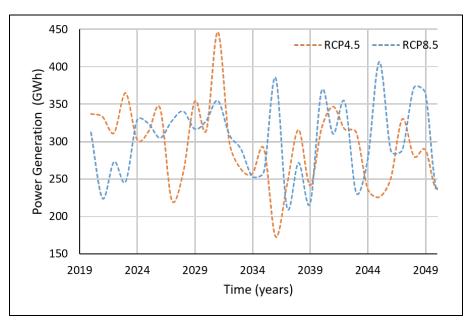


Figure 7. Hydropower Predictions from Khaniya et al (2020) [12]

 $R^2$  calculates the percentage variance in y explained by x-variables. The measure runs from 0 to 1. (the x-variables can explain, i.e. 0% to 100% of the variation in y). 365

The best  $R^2$  is given by the Cascaded ANFIS as 0.929. while GRU, LSTM, and RNN calculate it as 0.711, 0.701, and 0.634, respectively. <sup>368</sup>

The increase of  $R^2$  of the Cascaded ANFIS by level can be seen in Figure 6. At level 1,  $R^2$  is 0.422 because only two variables are considered the input to ANFIS modules at the first level. Then at level 10, the  $R^2$  value has increased by almost 50%. Finally, at level 20, the value has reached almost 1 (0.929). Therefore this result explains that the Cascaded ANFIS algorithm outperforms all other algorithms used here, including regression models. Hence, the Cascaded ANFIS algorithm is used to forecast hydropower generation up to the year 2099.

# 5.2. Forecasting of Hydropower Generation for future

Figure 8 showcases the projected power generation for the near future under the 377 RCP4.5 and RCP8.5 climate scenarios. It can be seen herein that both climate scenarios have 378 projected significant declination of power generations in the Samanalawewa Hydropower 379 plant. The declination is monotonic except for a couple of years' slight inclinations. How-380 ever, interestingly, the power generation in RCP4.5 is lower than that of in RCP8.5. Many 381 development projects are expected in Sri Lanka, and they require a significant amount of 382 power demand. It is projected around a 1000 MW power demand for Sri Lanka in the 383 future. In addition, Sri Lanka has proposed to generate more than 70% of its power demand 384 using renewable resources by the 2030s. However, the Samanalawewa power plant results for the near future do not support both requirements in the near future. This is critical 386 as the power plant significantly contributes to Sri Lanka's power demand as a renewable 387 resource. 388

Figure 9 presents the projected power generation for mid-future years from both RCP scenarios. Unlike in the near future, the projected power generation patterns have zig-zag patterns for both climatic scenarios, and however, they still showcase overall declining trends. In addition, the significant differentiation in the projected power generation from RCP4.5 and RCP8.5 for the near future cannot be seen in the mid-future, and instead, an overlap of both climatic scenarios can be seen.

Nevertheless, the projected power generations under RCP4.5 and RCP8.5 climatic scenarios showcase the impact of climate change on the hydropower generations in a healthy hydropower plant in Sri Lanka. Even though Figures 8, 9 and 10 present the 307

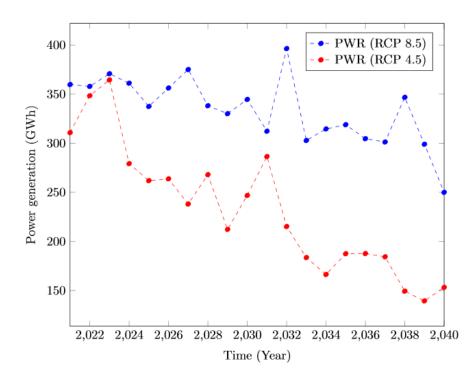


Figure 8. Power generation prediction from year 2021 to 2040

annual power generations, seasonal impacts can also be seen on the higher resolution scales such as monthly power generations. Therefore, climate change is adversely impacting the Samanalawewa hydropower plant in the near future and mid future, even though Sri Lanka's power demand is in escalating phase. Therefore, the findings of this research can be used for critical discussions by the stakeholders and then enhance the countermeasures.

Clear differences can be seen for the power generation prediction from two different techniques (Figure 7 and 8). Khaniya et al (2020) [12] have used frequently used ML algorithm in ANNs. Significant reductions can be seen for RCP4.5 under this Cascaded ANFIS algorithm. Therefore, the results have to be carefully assessed with time. The analysis can be restructured in short term.

Figure 10 illustrates the projected power generation from 2071 to 2100. A similar 408 illustration to mid-future power generations can be seen in the far-future too. However, 409 the projections overall do not showcase declining or inclining trends even though they 410 have peaks and troughs. Nevertheless, as per the authors' understanding, it is too early 411 to comment on power generation in the far future. RCP scenarios have projections for 412 the far future; however, the high variability of climate and its relationship to greenhouse 413 gas emissions might change the future patterns. In addition, the world's green energy 414 concepts like electric vehicles would positively impact the changing climates in the long 415 run. Even though authors have found the projected power generations for the far future, 416 quick conclusions may not be feasible. 417

# 6. Conclusion

Hydropower generation for Samanalawewa hydropower plant was forecasted using 419 a novel Cascaded ANFIS algorithm under RCP4.5 and RCP8.5 for future years. The accuracy of the newly utilized algorithms is higher compared to other frequently used 421 algorithms. It has shown lower RMSEs and higher  $R^2$ . The authorities would be interested 422 in the prediction model due to it's robustness for the practical applications. However, the 423 algorithm takes some significant time to train the forecasting model. The future projection 424 is interesting. The projection was considered for the near future and mid future cases 425 based on the design life of a hydropower station. Therefore, the suggestions for future 426 forecasting should align with the design life of the hydropower plant. Replacement of 427

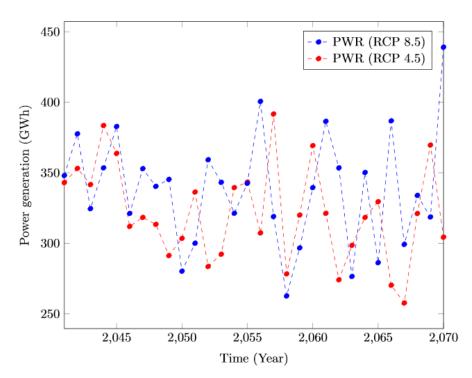


Figure 9. Power generation prediction from year 2041 to 2070

various important instrumentation like turbines can significantly influence the efficiency of 428 the power generation. Therefore, the results presented herein are based on the system which 429 is currently available. Based on these, the model can successfully be utilized to forecast 430 power generation for future years. Thus, the authorities and planners can learn the future 431 generation and then to matches the required demand. In addition, the authorities can make 432 decisions regarding replacements of various instrumentation to enhance the efficiency of the 433 Samanalawewa hydropower station. Nevertheless, the results are somewhat contrasting to 434 the results presented by Khaniya et al. (2020) [12]. Therefore, a detailed analysis should be 435 carried out with time to state sound conclusions. 436

Author Contributions: Conceptualization, Namal Rathnayake and Upaka Rathnayake; Data cura-<br/>tion, Namal Rathnayake; Formal analysis, Yukinobu Hoshino; Investigation, Upaka Rathnayake;<br/>Methodology, Namal Rathnayake and Upaka Rathnayake; Project administration, Yukinobu Hoshino;<br/>Resources, Upaka Rathnayake; Software, Namal Rathnayake; Supervision, Tuan Dang and Yukinobu<br/>Hoshino; Validation, Upaka Rathnayake and Tuan Dang; Visualization, Namal Rathnayake; Writing –<br/>review and editing, Upaka Rathnayake and Tuan Dang.43743844044044144144244244244344444444444444444544444644444744444844444844444944444044444144444244<td

Funding: This research received no external funding

 Data Availability Statement: The data can be available only for acceptable research purposes from
 444

 the authors
 444

Acknowledgments: The authors would like to appreciate Miss. Imiya Chathuranika (MEng in WaterEngineering and Management), Researcher at Sri Lanka Institute of Information Technology, SriLanka for the support in generating the interactive map of the Samanalawewa catchment.

**Conflicts of Interest:** The authors declare no conflict of interest.

# Abbreviations The following abbreviations are used in this manuscript:

- 451 452
- 449 450

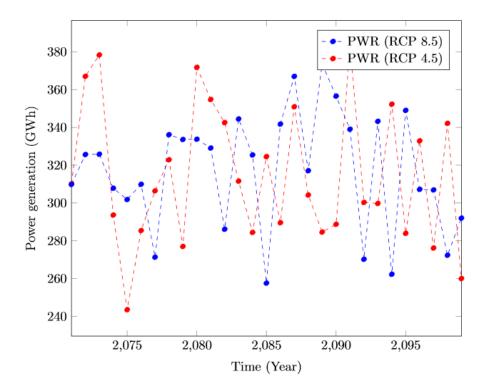


Figure 10. Power generation prediction from year 2071 to 2099

MDPI	Multidisciplinary Digital Publishing Institute
ANFIS	Adaptive Network Based Fuzzy Inference System
RNN	Recurrent Neural Network
LSTM	Long Short-Term Memory
GRU	Gated Recurrent Unit
RCP	Representative Concentration Pathway
SDG	Sustainable Development Goals
GCMs/RCMs	Global/Regional Climate Models
ANN	Artificial Neural Network
ARIMA	Auto Regressive Integrated Moving Average
FIS	Fuzzy Infererence System
FL	Fuzzy Logic
ML	Machine Learning
PSO	Particle Swarm Optimization
GA	Genetic Algorithms
RMSE	Root Mean Square Error

# References

- 1. Ban, K.m. Sustainable Development Goals 2016.
- Chandrasekara, S.; Prasanna, V.; Kwon, H.H. Monitoring water resources over the Kotmale reservoir in Sri Lanka using ENSO phases. Adv. Meteorol. 2017, 2017.
- Kober, T.; Schiffer, H.W.; Densing, M.; Panos, E. Global energy perspectives to 2060–WEC's World Energy Scenarios 2019. *Energy* Strategy Rev. 2020, 31, 100523.
- Bank, A.D. Tourism Sector Assessment, Strategy, and Road Map for Cambodia, Lao People's Democratic Republic, Myanmar, and Vietnam (2016-2018); Asian Development Bank, 2017.
- Shaktawat, A.; Vadhera, S. Risk management of hydropower projects for sustainable development: a review. *Environment*, 462 Development and Sustainability 2021, 23, 45–76.
- Hussain, A.; Sarangi, G.K.; Pandit, A.; Ishaq, S.; Mamnun, N.; Ahmad, B.; Jamil, M.K. Hydropower development in the Hindu
   Kush Himalayan region: Issues, policies and opportunities. *Renew. Sust. Energ. Rev.* 2019, 107, 446–461.
- 7. Chen, G.; De Costa, G. Climate change impacts on water resources: case of Sri Lanka 2017.
- Naveendrakumar, G.; Vithanage, M.; Kwon, H.H.; Iqbal, M.; Pathmarajah, S.; Obeysekera, J. Five decadal trends in averages and extremes of rainfall and temperature in Sri Lanka. *Adv. Meteorol. ADV METEOROL* 2018, 2018.

453

454

455

- 9. Zhang, X.; Li, H.Y.; Deng, Z.D.; Ringler, C.; Gao, Y.; Hejazi, M.I.; Leung, L.R. Impacts of climate change, policy and Water-Energy-Food nexus on hydropower development. Renew. Energy 2018, 116, 827–834.
- 10. Yadav, D.; Sharma, N.V.; et al. Artificial neural network based hydro electric generation modelling. Int. J. Appl. Eng. Res. 2010, 471 2,56-72. 472
- Khan, M.S.; Coulibaly, P.; Dibike, Y. Uncertainty analysis of statistical downscaling methods. J. Hydrol. 2006, 319, 357–382. 11. 473
- 12. Khaniya, B.; Priyantha, H.G.; Baduge, N.; Azamathulla, H.M.; Rathnayake, U. Impact of climate variability on hydropower 474 generation: a case study from Sri Lanka. ISH J. Hydraul. Eng. 2020, 26, 301–309. 475
- Qin, P.; Xu, H.; Liu, M.; Du, L.; Xiao, C.; Liu, L.; Tarroja, B. Climate change impacts on Three Gorges Reservoir impoundment and 13. 476 hydropower generation. J. Hydrol. 2020, 580, 123922. 477
- Abdulkadir, T.; Salami, A.; Anwar, A.; Kareem, A. Modelling of hydropower reservoir variables for energy generation: neural 14. 478 network approach. EJESM 2013, 6, 310-316. 479
- 15. Uzlu, E.; Akpinar, A.; Ozturk, H.T.; Nacar, S.; Kankal, M. Estimates of hydroelectric generation using neural networks with the artificial bee colony algorithm for Turkey. ENEYDS 2014, 69, 638–647. 481
- 16. Patil, M. Stream flow modeling for Ranganadi hydropower project in India considering climate change. Curr. World Environ. 482 2016, 11, 834. 483
- 17. Hammid, A.T.; Sulaiman, M.H.B.; Abdalla, A.N. Prediction of small hydropower plant power production in Himreen Lake dam 484 (HLD) using artificial neural network. Alex. Eng. J. 2018, 57, 211-221. 485
- Khodaverdi, M. Forecasting future energy production using hybrid artificial neural network and arima model 2018. 18.
- Ajala, A.L.; Adeyemo, J.; Akanmu, S. The Need for Recurrent Learning Neural Network and Combine Pareto Differential 19. 487 Algorithm for Multi-Objective Optimization of Real Time Reservoir Operations. J. Soft Comput. Civ. Eng. 2020, 4, 52–64.
- 20. Anuar, N.; Khan, M.; Pasupuleti, J.; Ramli, A. Flood risk prediction for a hydropower system using artificial neural network. Int J Recent Technol Eng. 2019, 8, 6177–6181.
- 21. Sessa, V.; Assoumou, E.; Bossy, M. Modeling the climate dependency of the run-of-river based hydro power generation using 491 machine learning techniques: an application to French, Portuguese and Spanish cases. In Proceedings of the EMS 2019 Annual 492 Meeting, 2019, Vol. 16. 493
- 22. Karunathilake, S.L.; Nagahamulla, H.R. Artificial neural networks for daily electricity demand prediction of Sri Lanka. In 494 Proceedings of the 2017 Seventeenth International Conference on Advances in ICT for Emerging Regions (ICTer). IEEE, 2017, pp. 495 1-6.496
- Dehghani, M.; Riahi-Madvar, H.; Hooshyaripor, F.; Mosavi, A.; Shamshirband, S.; Zavadskas, E.K.; Chau, K.w. Prediction of 23. 497 hydropower generation using grey wolf optimization adaptive neuro-fuzzy inference system. Energies 2019, 12, 289. 498
- 24. Konica, J.A.; Staka, E. Forecasting of a hydropower plant energy production with Fuzzy logic Case for Albania 2017.
- 25. Suprapty, B.; Malani, R.; Minardi, J. Rainfall prediction using fuzzy inference system for preliminary micro-hydro power plant 500 planning. In Proceedings of the IOP Conference Series: Earth and Environmental Science. IOP Publishing, 2018, Vol. 144, p. 501 012005. 502
- Rahman, M.A.; et al. Improvement of Rainfall Prediction Model by Using Fuzzy Logic. Am. J. Clim. Change 2020, 9, 391. 26.
- 27. Jafari, R.; Yu, W. Fuzzy modeling for uncertainty nonlinear systems with fuzzy equations. Math. Probl. Eng. MATH PROBL ENG 504 2017. 2017. 505
- Rathnayake, N.; Dang, T.L.; Hoshino, Y. A Novel Optimization Algorithm: Cascaded Adaptive Neuro-Fuzzy Inference System. 28. 506 Int. J. Fuzzy Syst. 2021, pp. 1-17. 507
- 29. Gunasekara, C.G.S. Modelling and simulation of temperature variations of bearings in a hydropower generation unit, 2011. 508
- 30. Udayakumara, E.; Shrestha, R.; Samarakoon, L.; Schmidt-Vogt, D. Mitigating soil erosion through farm-level adoption of soil and 509 water conservation measures in Samanalawewa Watershed, Sri Lanka. Acta Agric Scand B Soil Plant Sci. 2012, 62, 273–285. 510
- 31. Udayakumara, E.; Gunawardena, U.; et al. Reducing Siltation and Increasing Hydropower Generation from the Rantambe 511 Reservoir, Sri Lanka. SANDEE 2016. 512
- Imbulana, N.; Gunawardana, S.; Shrestha, S.; Datta, A. Projections of extreme precipitation events under climate change scenarios 32. 513 in Mahaweli River Basin of Sri Lanka. Curr. Sci. 2018, 114. 514
- Perera, A.; Rathnayake, U. Impact of climate variability on hydropower generation in an un-gauged catchment: Erathna 33. 515 run-of-the-river hydropower plant, Sri Lanka. Appl. Water Sci. 2019, 9, 1–11. 516
- Khaniya, B.; Jayanayaka, I.; Jayasanka, P.; Rathnayake, U. Rainfall trend analysis in Uma Oya basin, Sri Lanka, and future water 34. 517 scarcity problems in perspective of climate variability. *Adv. Meteorol.* **2019**, 2019. 518
- 35. Udagedara, D.T.; Oguchi, C.T.; Gunatilake, J.K. Evaluation of geomechanical and geochemical properties in weathered meta-519 morphic rocks in tropical environment: a case study from Samanalawewa hydropower project, Sri Lanka. Geosci. J. 2017, 520 21, 441-452. 521
- 36. Laksiri, K.; Gunathilake, J.; Iwao, Y. A case study of the Samanalawewa reservoir on the Walawe river in an area of Karst in Sri 522 Lanka. In Sinkholes and the Engineering and Environmental Impacts of Karst; 2005; pp. 253–262. 523
- 37. Wijesinghe, D. Optimization of hydropower potential of Samanalawewa project 2006.
- Pathiraja, M.; Wijayapala, W. Optimization of the usage of Samanalawewa water resource for power generation. In Proceedings 38. 525 of the 2016 Electrical Engineering Conference (EECon). IEEE, 2016, pp. 86–90. 526

488

489

490

499

503

- Udayakumara, E.; Gunawardena, U. Cost–benefit analysis of Samanalawewa Hydroelectric Project in Sri Lanka: an ex post analysis. *Earth Syst. Environ.* 2018, 2, 401–412.
- Jakob Themeßl, M.; Gobiet, A.; Leuprecht, A. Empirical-statistical downscaling and error correction of daily precipitation from regional climate models. Int J Climatol. 2011, 31, 1530–1544.
- 41. Glossary, I. Climate Change 2014 Report Fifth Assessment Report. *IPCC* 2014.
- Christensen, J.H.; Boberg, F.; Christensen, O.B.; Lucas-Picher, P. On the need for bias correction of regional climate change projections of temperature and precipitation. *Geophys. Res. Lett.* 2008, 35.
- Piani, C.; Haerter, J.; Coppola, E. Statistical bias correction for daily precipitation in regional climate models over Europe. *Theor.* 534 *Appl.* 2010, 99, 187–192.
- 44. Teutschbein, C.; Seibert, J. Bias correction of regional climate model simulations for hydrological climate-change impact studies: 536
   Review and evaluation of different methods. J. Hydrol. 2012, 456, 12–29. 537
- Lenderink, G.; Buishand, A.; Deursen, W.v. Estimates of future discharges of the river Rhine using two scenario methodologies: direct versus delta approach. *Hydrol Earth Syst Sci.* 2007, 11, 1145–1159.
- Ghimire, U.; Srinivasan, G.; Agarwal, A. Assessment of rainfall bias correction techniques for improved hydrological simulation. *Int J Climatol* 2019, 39, 2386–2399.
- Lafon, T.; Dadson, S.; Buys, G.; Prudhomme, C. Bias correction of daily precipitation simulated by a regional climate model: a comparison of methods. Int J Climatol 2013, 33, 1367–1381.
- Luo, M.; Liu, T.; Meng, F.; Duan, Y.; Frankl, A.; Bao, A.; De Maeyer, P. Comparing bias correction methods used in downscaling precipitation and temperature from regional climate models: a case study from the Kaidu River Basin in Western China. *Water* 2018, 10, 1046.
- 49. Mahmood, R.; Jia, S.; Tripathi, N.K.; Shrestha, S. Precipitation extended linear scaling method for correcting GCM precipitation and its evaluation and implication in the transboundary Jhelum River basin. *Atmosphere* **2018**, *9*, 160.
- 50. Jang, J.S. ANFIS: adaptive-network-based fuzzy inference system. *IEEE Trans. Syst. Man Cybern. Syst.* **1993**, 23, 665–685.

# **Short Biography of Authors**



Namal Rathnayake received the B.Sc degree in Electronics from the University of Wolverhampton and the Master's degree in Robotics and Automation from the University of Salford Manchester in 2016 and 2019 respectively. He is currently working towards a PhD with the department of System Engineering at the Kochi University of Technology, Japan. Namal was a recipient of the Special Scholarship Program from KUT for a doctoral program in 2020. His research interests include Fuzzy Logic Control, Artificial Intelligence and UAV Robotics.



**Upaka Rathnayake** is a Researcher and an Associate Professor of Civil Engineering in Sri Lanka Institute of Information Technology, Sri Lanka. He holds his PhD from the University of Strathclyde, Scotland, United Kingdom (Royal Charter in 1964 as the UK's First Technological University). He is working on various research topics related to sustainability of water resources management.



**Dang Tuan Linh** is a lecturer at School of Information and Communication Technology, Hanoi University of Science and Technology. He received the Ph.D. degree in computer science from Kochi University of Technology in 2017. His current research interests include machine learning, computer vision, hardware/software co-design, and FPGA. Dang Tuan Linh was a recipient of the Special Scholarship Program from KUT for doctoral program in 2014, Japanese government scholarship for master program in 2012.

531

549

550





Yukinobu Hoshino received the B.Sc degree in 1995 from the Westmar University and Master degree and PhD from the Ritsumeikan University in 1998 and 2002, respectively. He is currently an Associate Professor in Department of System Engineering, Kochi University of Technology, Japan. His current research interests include Intelligent system and Machine Learning based on SOFT computing for SoC. Prof. Hoshino is a member of many reputed societies including Institute of Electrical and Electronics Engineers (IEEE), Japan Society for Fuzzy Theory and intelligent informatics (SOFT), The Institute of Systems, Control and Information Engineers (ISCIE), The Society of Instrument and Control Engineers (SICE) and Japan Society of Kansei Engineering (JSKE).